

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	10158163
<b>Application Number:</b>	10767699
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5670
<b>Title of Invention:</b>	System and method for controlling the disclosure of a trading order
<b>First Named Inventor/Applicant Name:</b>	Thomas J. Daley
<b>Customer Number:</b>	63710
<b>Filer:</b>	Glen Farbanish/Laura Alto
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	04-6174 (069547.0174)
<b>Receipt Date:</b>	24-MAY-2011
<b>Filing Date:</b>	29-JAN-2004
<b>Time Stamp:</b>	15:12:47
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	1665
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6174_110524_IDS.pdf	43461 286526d5d888166cd004e75238f5cb0398c	no	5
<b>Warnings:</b>					
<b>Information:</b>					
2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6174_110524_1449.pdf	24418 d84e59c57c2e90b8e9704930a2694e5ec076d71	no	1
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	AU_2006278382_Not_Acceptance_04-11-2011.pdf	109280 95915eede9d52079592d6c115133ad6328cabc4	no	3
<b>Warnings:</b>					
<b>Information:</b>					
4	NPL Documents	10-767546_OA_05-20-2011.pdf	1632469 e02898622535b21381112d268d70c3668d834b	no	47
<b>Warnings:</b>					
<b>Information:</b>					
5	Fee Worksheet (PTO-875)	fee-info.pdf	29709 a8c2343d80935364b4cef49d698fbd83a5f66d	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			1839337		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.